

Ten Most Cited Deficiencies for Assisted Living Facilities  
2/1/2004 thru 2/1/2005

B-0067

§ 36-450.02. A. Each health care institution licensed pursuant to this chapter shall adopt a policy that prohibits retaliatory action against a health professional who in good faith:

1. Makes a report to the health care institution pursuant to the requirements of section 36-450.01.
2. Having provided the health care institution a reasonable opportunity to address the report, provides information to a private health care accreditation organization or governmental entity concerning the activity, policy or practice that was the subject of the report.

B-0060

§ 36-406. Powers and duties of the department

In addition to its other powers and duties:

§ 36-406.1. The department shall:

§ 36-406.1(d) Require as a condition of licensure that nursing care institutions and assisted living facilities make vaccinations for influenza and pneumonia available to residents on site on a yearly basis. The department shall prescribe the manner by which the institutions and facilities shall document compliance with this subdivision, including documenting residents who refuse to be immunized. The department shall not impose a violation on a licensee for not making a vaccination available if there is a shortage of that vaccination in this state as determined by the director.

B-0066

§36-450.01. Reporting procedures

A. Each health care institution licensed pursuant to this chapter shall adopt a procedure for reviewing reports made in good faith by a health professional concerning an activity, policy or practice that the health professional reasonably believes both:

1. Violates professional standards of practice or is against the law.
2. Poses a substantial risk to the health, safety or welfare of a patient.

B. The procedure shall include reasonable measures to maintain the confidentiality of the identity of a health professional providing information to a health care institution pursuant to this section.

A-0636

R9-10-706.E. A licensee shall ensure that a file is maintained on the premises for each employee containing the following:

R9-10-706.E.4. For each employee hired after the effective date of this Article, at least 2 personal and 2 professional or work-related references, if the employee has previous work experience, and documentation of the licensee's good faith effort to contact each reference.

A-3052

R9-10-723.E. A licensee shall ensure that medication requirements in R9-10-722(D) are met for a resident receiving personal care services or directed care services.

*R9-10-722.D. In addition to the medication requirements in R9-10-713, a licensee shall ensure that:*

*R9-10-722.D.1. Assistance in the self-administration of medication or medication administration for a resident receiving personal care services is provided based upon a written medication order from the resident's primary care provider, medical practitioner, or as otherwise provided by law. A medication order includes:*

*R9-10-722.D.1. Assistance in the self-administration of medication or medication administration for a resident receiving personal care services is provided based upon a written medication order from the resident's primary care provider, medical practitioner, or as otherwise provided by law. A medication order includes:*

*R9-10-722.D.1.a. The name of resident;*

*R9-10-722.D.1.b. The name, strength, quantity, route of administration, and directions for the medication ordered;*

*R9-10-722.D.1.c. Precautionary statements, if applicable; and*

*R9-10-722.D.1.d..The signature of primary care provider or medical practitioner and date signed;*

A-1815

R9-10-718. A licensee shall ensure that:

R9-10-718.10. Poisonous or toxic materials stored by the assisted living facility are maintained in labeled containers in a locked area separate from food preparation and storage, dining areas, and medications;

A-1318

R9-10-713.B. A licensee shall ensure that:

R9-10-713.B.3. Medication stored by the licensee is stored or controlled as follows:

R9-10-713.B.3.a. Medication is stored in a locked container, cabinet, or area that is inaccessible to residents;

R9-10-703.B. A licensee shall ensure that a manager of an assisted living facility:

R9-10-703.B.9. Ensures compliance with fingerprinting requirements contained in A.R.S. § 36-411;

A-0346

A.R.S. § 36-411. A. Subject to legislative appropriations, as a condition of licensure or continued licensure of a residential care institution, a nursing care institution or a home health agency and as a condition of employment in a residential care institution, a nursing care institution or a home health agency, employees and owners of residential care institutions, nursing care institutions or home health agencies or contracted persons who provide direct care, home health services or supportive services and who have not been subject to the fingerprinting requirements of a health professional's regulatory board pursuant to title 32 shall have valid fingerprint clearance cards that are issued pursuant to title 41, chapter 12, article 3.1 or shall apply for a fingerprint clearance card within twenty working days of employment or beginning volunteer work except as provided in subsections F, G and H of this section.

C. Owners shall make documented, good faith efforts to:

2. Verify the current status of a person's fingerprint clearance card.

A-1703

R9-10-717.A. A licensee shall ensure that:

R9-10-717.A.3. An employee fire drill is conducted at least once every 3 months on each shift

Residents are not required to participate in an employee fire drill. An employee fire drill includes making a general announcement throughout the facility that an employee fire drill is being conducted or sounding a fire alarm;

A-1601

R9-10-716.A. A licensee shall ensure that an assisted living facility:

R9-10-716.A.1. Complies with all local building codes, ordinances, fire codes, and zoning requirements.

If there are no local building codes, ordinances, fire codes, or zoning requirements, the assisted living facility complies with the applicable codes and standards incorporated by reference in A.A.C. R9-1-412;